U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER ZIMR/0048

	r	DEGICALATED <i>I</i> EL ECTED OL	EEICE INDIEDILIEI				
DESIGNATED/ELECTED O CONCERNING A SUBMISSION			•	U.S. APPUONTION No. (Artnown, see 37 CFR 1.5)			
INTERNATIONAL APPLICATION NO. PCT/EP2005/001637			INTERNATIONAL FILING DATE 17 February 2005 (17.02.2005)	PRIORITY DATE CLAIMED 19 March 2004 (19.03.2004)			
Τſ	TLE OF	INVENTION HIGH CURRENT DENSITY PA	ARTICLE BEAM SYSTEM				
AF	PLICA	NT(S) FOR DO/EO/US Juergen FROSIEN					
Ap	plicant	herewith submits to the United States Des	ignated/Elected Office (DO/EO/US) the follow	ving items and other information:			
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.	$\boxtimes$	The US has been elected (Article 31).					
5.	⊠	<ul> <li>A copy of the International Application as filed (35 U.S.C. 371(c)(2))</li> <li>a. ☐ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☐ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>					
6.		An English language translation of the Interaction a.   is attached hereto.  b. has been previously submitted up	emational Application as filed (35 U.S.C. 371(c)(	2)).			
7.	×	<ul> <li>a.  are attached hereto (required on</li> <li>b.  have been communicated by the</li> </ul>	he time limit for making such amendments has N	au).			
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
		11 to 20 below concern document(s) or in					
11.	⊠	An Information Disclosure Statement unde					
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13.		A preliminary amendment.					
14.		An Application Data Sheet under 37 CFR 1.76.					
15. 16.		A substitute specification.					
17.		A power of attorney and/or change of address letter.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
		A second copy of the published Internation					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

19.

١

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known cee-37 SR 1.5)			INTERNATIONAL APPLICATION NO. PCT/EP2005/001637		ATTORNEY'S DOCKET NUMBER ZIMR/0048		
20. Other items or information:							
The following	g fees are submitted:					CALCULATIONS	PTO USE ONLY
21. 🖾 Basic na	tional fee (37 CFR 1.492(a))				\$300	\$ 300.00	
22.   Examination Fee (37 CFR 1.492(c)))  If the written opinion prepared by ISA/US to the international preliminary examination report prepared  By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 200.00	
23. ⊠ Search fee (37 CFR 1.492(b))  If the written opinion prepared by ISA/US to the international preliminary examination report prepared By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority\$100  International Search Report prepared by an ISA other than the US and provided in the Office or previously communicated to the US by the IB\$400  All other situations					\$ 400.00		
	TOTAL OF 21, 22 aı					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821 (c) or (e) computer program listing filed in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra sheets	Number of each additional 50 or fraction RATE thereof (round up to a whole number)		RATE			
- 100 =	0/50 =				x \$250	\$0	
	0 for fumishing any of the sof the national stage (37 CFR		mination fee, or the oath or dec	claration	after the date	\$	
CLAIMS	NUMBER FILE	D	NUMBER EXTRA		RATE	\$	
Total claims	33	- 20 =	13	x	\$50	\$ 650.00	
Independent clair		- 3 =	1	x \$200		\$ 200.00	
MULTIPLE DEPEN	IDENT CLAIM(S) (if applicat	ole)		+	\$360	\$0	
			TOTAL OF ABO	VE CA	LCULATIONS =	\$ 1750.00	
Applicant clair	ns small entity status. See 3	7 CFR 1.27. T	he fees indicated above are re	duced b	oy ½.	\$	
SUBTOTAL =						\$ 1750.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$		
TOTAL NATIONAL FEE =					\$ 1750.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =						\$ 1750.00	
						Amount to be refunded:	\$
		_				Amount to be charged:	\$

FORM PTO-1390 (REV. 07-2005)

-					
a. A check in the amount of \$ to cover the above	e fees is enclosed.				
b. Please charge my Deposit Account No. 20-0782 (ZIMR/0048/KMT) in the amount of \$ 1750.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c.   The Commissioner is hereby authorized to charge a overpayment of Deposit Account No. 20-0782 (ZIMF	☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of Deposit Account No. 20-0782 (ZIMR/0048/KMT). A duplicate copy of this sheet is enclosed.				
d.  Fees are to be charge to a credit card. WARNING information should not be included on this form. Pro	Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:	Date: September 18, 2006				
	Vin 2 2hrs 1				
THE ATTORNEYS ASSOCIATED WITH CUSTOMER NO. 26290	SIGNATURE				
COSTOMER NO. 20290	NAME  REGISTRATION NUMBER: 32,008  PATTERSON & SHERIDAN, L.L.P. 3040 Post Oak Blvd., Suite 1500 Houston, TX 77056-6582				
	Phone (713) 623-4844 Fax (713) 623-4846				
	rax (713) 023-4040				

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

In re Application of: Juergen FROSIEN	999	Int'l Application No.: PCT/EP2005/001637
Serial No.: to be assigned	§ §	Int'l Filing Date: 17 February 2005 (17.02.2005)
Filed: herewith	8	Priority Date: 19 March 2004 (19.03.2004)
For: HIGH CURRENT DENSITY PARTICLE BEAM SYSTEM	8	

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **CERTIFICATE UNDER 37 CFR 1.10**

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on September 18, 2006, with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV763975515US, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

9/18/06

## LETTER OF TRANSMITTAL

Enclosed for filing are the following documents:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 2) Preliminary Amendment;
- 3) Information Disclosure Statement;
- 4) Unexecuted Combined Declaration and Power of Attorney; and
- 5) Return Postcard.

Respectfully submitted,

Date: 9//8/06

Keith M. TACKETT

Registration No. 32,008

PATTERSON & SHERIDAN, LLP 3040 Post Oak Blvd., Suite 1500

Houston, Texas 77056 Telephone 713/623-4844 Facsimile 713/623-4846

Attorneys for Applicant

•				
	•			
		•		·
	DOCKET No.: 21MR 10048	•		
	SERIAL No.: PCT [EP2005   00   637			•
	FILED: 17 February 2005			
		•	•	
	APPLICANTICT Integrated Circuit			
•	INVENTOR: Juergen Prosien			
	The Patent & Trademark Office acknowledges and has stamped			
•	hereon the date of receipt of the items checked below which were mailed September 18 2006			
	mailed <u>September 18, 2006</u>			
•	AFFIDAVIT			
	AMENDMENT	•		
• •	APPLICATION PAPERS - OATH/DECLARATION			
	OTOTAL CLS. 33 IND. CLS. 4 FEE\$ 1750.00			
	<ul> <li>SHEET(Š) OF DRAWING(S) FORMAL/INFORMAL</li> <li>ASSIGNMENT-RECORDAL FEE \$</li> </ul>			
•	BRIEF			
	APPEAL NOTICE			
	DECLARATION I Transportal			•
•	☐ ISSUE FEE - BASE/BALANCE			
	DIETTED CHARGE DEPOSIT ACCOUNT			
	LETTER-Transmittal			
	☐ TRADEMARK/SERVICEMARK APPLICATION			
•	PETITION			
	PRELIMINARY AMENDMENT			
	INFORMATION DISCLOSURE STATEMENT			
	☐ REQUEST FOR EXTENSION OF TIME			
	RESPONSE TO			
	SMALL ENTITY			
•	CHECK#IN THE AMOUNT OF \$			
	Declaration			
•	7 6			
•	6/17/17			
·	EXPRESSMAIL# <u>EV763975515US</u> MAILLOG# <u>26063</u>			
	5W		•	
.•				
		. 47		•
	*	•	•	
8				•
•				
. '	• •			
	•			